

Appendix 1 To Schedule 4 – Standard Rates for Learning Difficulties

CARE HOMES AND CARE HOMES WITH NURSING FOR ADULTS WITH LEARNING DIFFICULTIES

1. Pricing Model

The Order for Individual Services will specify a band based on the complexity of needs of the Service User. A need for additional service elements may be identified through community care assessments and reviews and the price for this will be as per the pricing model below. The identified band and additional service elements will also be specified on the Order.

The level of additional service elements will be reviewed within the first three months of being applied and not less than three times in the first year. The Care Manager will arrange a review with the Provider to determine whether the additional resource is still required. Changes will be agreed and a new Order issued if necessary.

2. Core Costs

The core costs reflect a combination of the organisation costs and the support banding. The following are part of the core service cost and are included in the 'core' rate for each of the 'bandings'. This list is not exhaustive:

- Management charge including Scheme Manager
- Senior Support staff
- Care and Support Staff
- Relief and agency staff
- Sleeping-in and waking night staff
- Catering/domestic staff
- Cover for sickness and holidays
- 8 days training per staff member per annum
- Recruitment (including CRB checks) and advertising
- Travel and protective clothing
- Insurance
- Administration costs, including staff, postage, professional fees, banking costs etc.
- Accommodation and Board and associated costs, for example utilities, clinical waste disposal, building maintenance, equipment servicing and damages
- Compliance with NCC and CQC regulatory and contractual responsibilities, including registration fees
- Compliance with health and safety and other regulatory responsibilities, including the implementation of policy and procedural guidance to staff
- All direct costs and services associated with daily living including where appropriate cleaning, minor maintenance, gardening and laundry.

**2014/2015 Core Rates per Person per Week
(See tables overleaf for Descriptors of Need)**

Note: Where additional 1:1 hours exceed 12 per day on average in bands 2 and 3, the resident may be placed on the next band up and subject to that rate for the remainder of the placement or until the next review of care determines otherwise.

These rates apply to all new placements from commencement of this contract	Number of clients Home is Registered for (CQC)		Number of clients Home is Registered for (CQC)	
	1 to 6		7 +	
Banding / Level of Need	Staff: service user ratio	Price per person per week	Staff: service user ratio	Price per person per week
<u>BAND 1</u> Very intensive for high level very complex needs	2:1 x 7 days	£2653.12	2:1 x 7 days	£2627.30
<u>BAND 2</u> Very intensive for high level very complex needs. If the client requires by exception more than 12 hours 2:1 per day on average, then move to Band 1	1:1 x 7 days	£1713.69	1:1 x 7 days	£1687.87
<u>BAND 3</u> Intensive for high level needs. If the client requires by exception more than 12 hours 1:1 per day on average, then move to Band 2	2:3	£825.87	2:3	£800.07
	3:5		3:5	
	1:2		1:2	
<u>BAND 4</u> Medium for substantial needs	2:5	£619.40	2:5	£593.60
	1:3		1:3	
	1:4		1:4	
<u>BAND 5</u> Moderate for appreciable support needs and small homes (registered for up to 4 people)	1:3	£464.56	1:4	£438.74
			1:5	
	1:4		1:6	
	1:5		1:7	
	1:6		1:8	
		1:10		

Notes:

1. The above rates include sleep-in staff. The number of staff to cover a sleep in must be sufficient to meet the needs of the service users. However if the core service level for the home is to provide a waking night member of staff then a payment of £72.27 per night will be added to the appropriate rate, divided between the number of service users who share each waking night member of staff.
2. Where a sleep in member of staff is provided as part of the core service, this member of staff is available to be woken up to three times a night to provide any part of the Service.
3. The above rates apply for 52 weeks of the year. There will be no additional charge for bank holidays
4. The above rates include the provision of appropriate activities during the day, seven days a week, to meet the outcomes identified in Service Users' care plans as specified by the Care Manager.
5. An additional £110.89 per person per week will be added to the above for a nursing care placement.
6. Ratios are presented as staff : service users

Additional service elements

Where additional service elements are The following rates will apply where the Care Manager has specified the need for additional service elements in order to meet the needs identified in a Service User's care plan where these are over and above that expected from within the core service. The rate for an additional service element will be shared between all the Service Users using it. These additional service elements will be subject to ongoing monitoring.

Convert sleep-in to waking night: £72.27 per staff member

Additional waking night: £103.23 per staff member

Additional sleep-in: £30.97 per staff member

1:1 support: £10.32 per hour

2:1 support: £20.64 per hour

These rates apply 52 weeks of the year. There will be no additional payment for weekends or bank holidays etc.

DESCRIPTORS OF NEED

Bands 1 and 2: Very intensive for high level very complex needs:

Service Users in this group will require a highly specialised environment and a staff team with awareness of and training in the complex presentation of learning disability. The staff team will be able to put into practice specialised, tailored interventions devised by the Community Learning Difficulties Team with a high degree of consistency and complete regular and thorough risk assessments. It will be clearly demonstrated/ assessed by the Care Manager that 2:1 or 1:1 staffing is required during all waking hours (15 hours per day) to minimise risk to the Service User and/or others and to achieve positive outcomes. Service Users in this category will demonstrate one or more of the following:

- High frequency, intense severe challenging behaviour requiring a complex (multidisciplinary) treatment programme including specific behavioural intervention and the regular use of physical intervention techniques.
- Complex learning disability/mental health/physical needs presentation.
- Unusually severe or complex Autistic Spectrum Disorder, dementia, epilepsy presentation.

Band 3: Intensive for high level needs

Service Users in this group are likely to require specialised environment and equipment; 24 hour staffing with ratios lending themselves to 1-1, (sometimes 2-1) at frequent intervals and high levels of observation combined with flexible and imaginative tailor made activities. The following may also occur:

- Severe challenging behaviour requiring specific behavioural intervention and consideration of Breakaway and/or physical intervention techniques .
- Extreme end of the autistic spectrum requiring specific behavioural and communication strategies.
- Significant mental health needs in addition to learning difficulty requiring specialised interventions and monitoring.

- Significant sensory disability.
- Behaviour requiring high levels of supervision and monitoring (e.g. high risk sexual behaviour).
- Final Stage Dementia requiring specialised interventions and monitoring.
- Significant physical health needs requiring individualised care.

All with substantial input from the Community Learning Difficulties Team.

Band 4: Medium for substantial needs:

Service Users in this group are likely to require staff assistance for a significant proportion of time and support with personal care tasks. Leisure activities will be facilitated individually and in small groups; sleep-in night staff are more likely to be required. The following may also occur:

- Mild challenging behaviour requiring specific behavioural intervention and consideration of Breakaway and other safety techniques.
- Autistic spectrum requiring specialised behavioural and communication strategies.
- Mental health needs in addition to learning difficulty requiring monitoring.
- Mid-stage Dementia requiring monitoring.
- Physical health needs requiring individualised care.
- Assistance with self help skills.

All with input from the Community Learning Difficulties Team.

Band 5: Moderate for appreciable needs:

Service Users in this group are likely to need support with personal care, domestic routines, developing social relationships and accessing a range of community facilities and resources. Whilst a level of independence may be achieved, this will be dependant on regular advice and support. The following may also occur:

- Some inappropriate behaviour.
- Limited sense of personal safety.
- Need for support with developing self help skills.
- Need for support with developing self care skills.
- Need for support with physical health care.
- Need for support with mental health care.
- Need for support in planning the activities of daily living.

DEFINITION OF 1:1 AND 2:1 SERVICE

A Service User in receipt of 1:1 staffing must have a dedicated member of staff working only with him/her for the specified period. That member of staff must not be shared with other Service Users during the specified period.

The member of staff will:

- additional to the core staffing complement of the home
- have a defined role or task in relation to a named client for a specified period of the day
- work with that named client only at any time
- meet the needs of that client with respect to outcomes agreed with the Care Manager as set out in the Care Plan

Appendix 2 To Schedule 4 – Standard Rates for Mental Health

CARE HOMES AND CARE HOMES WITH NURSING FOR ADULTS WITH MENTAL HEALTH PROBLEMS

1. Pricing Model

The Order for Individual Services will specify a band based on the complexity of needs of the Service User. A need for additional service elements may be identified through community care assessments and reviews and the price for this will be as per the pricing model below. The identified band and additional service elements will also be specified on the Order.

The level of additional service elements will be reviewed within the first three months of being applied and not less than three times in the first year. The Care Manager will arrange a review with the Provider to determine whether the additional resource is still required. Changes will be agreed and a new Order issued if necessary.

2. Core Costs

The core costs reflect a combination of the organisation costs and the support banding. The following are part of the core service cost and are included in the 'core' rate for each of the 'bandings'. This list is not exhaustive:

- Management charge including Scheme Manager
- Senior Support staff
- Care and Support Staff
- Relief and agency staff
- Sleeping-in and waking night staff
- Catering/domestic staff
- Cover for sickness and holidays
- 8 days training per staff member per annum
- Recruitment (including CRB checks) and advertising
- Travel and protective clothing
- Insurance
- Administration costs, including staff, postage, professional fees, banking costs etc.
- Accommodation and Board and associated costs, for example utilities, clinical waste disposal, building maintenance, equipment servicing and damages
- Compliance with NCC and CQC regulatory and contractual responsibilities, including registration fees
- Compliance with health and safety and other regulatory responsibilities, including the implementation of policy and procedural guidance to staff
- All direct costs and services associated with daily living including where appropriate cleaning, minor maintenance, gardening and laundry.

**2014/2015 Core Rates per Person per Week
(See tables overleaf for Descriptors of Need)**

Note: Where additional 1:1 hours exceed 12 per day on average in bands 2 and 3, the resident may be placed on the next band up and subject to that rate for the remainder of the placement or until the next review of care determines otherwise.

These rates apply to all new placements from commencement of this contract	Number of clients Home is Registered for (CQC)		Number of clients Home is Registered for (CQC)	
	1 to 6		7 +	
Banding / Level of Need	Staff: service user ratio	Price per person per week	Staff: service user ratio	Price per person per week
<u>LEVEL 3 - INTENSIVE</u> High level support needs.	2:3	£825.87	2:3	£800.07
	3:5		3:5	
	1:2		1:2	
<u>LEVEL 2 – ENHANCED</u> Substantial support needs.	2:5	£619.40	2:5	£593.60
	1:3		1:3	
	1:4		1:4	
<u>LEVEL 1 – STANDARD</u> Appreciable support needs.	1:3	£464.56	1:4	£438.74
			1:5	
	1:4		1:6	
	1:5		1:7	
	1:6		1:8	
		1:10		

Notes:

- The above rates include sleep-in staff. The number of staff to cover a sleep in must be sufficient to meet the needs of the service users. However if the core service level for the home is to provide a waking night member of staff then a payment of £72.27 per night will be added to the appropriate rate, divided between the number of service users who share each waking night member of staff.
- Where a sleep in member of staff is provided as part of the core service, this member of staff is available to be woken up to three times a night to provide any part of the Service.
- The above rates apply for 52 weeks of the year. There will be no additional charge for bank holidays
- The above rates include the provision of appropriate activities during the day, seven days a week, to meet the outcomes identified in Service Users' care plans as specified by the Care Manager.
- An additional £110.89 per person per week will be added to the above for a nursing care placement.
- Ratios are presented as staff : service users

Additional service elements

Where additional service elements are The following rates will apply where the Care Manager has specified the need for additional service elements in order to meet the needs identified in a Service User's care plan where these are over and above that expected from within the core service. The rate for an additional service element will be shared between all the Service Users using it. These additional service elements will be subject to ongoing monitoring.

Convert sleep-in to waking night: £72.27 per staff member

Additional waking night: £103.23 per staff member

Additional sleep-in: £30.97 per staff member

1:1 support: £10.32 per hour

2:1 support: £20.64 per hour

These rates apply 52 weeks of the year. There will be no additional payment for weekends or bank holidays etc.

DEFINITION OF 1:1 AND 2:1 SERVICE

A Service User in receipt of 1:1 staffing must have a dedicated member of staff working only with him/her for the specified period. That member of staff must not be shared with other Service Users during the specified period.

The member of staff will:

- additional to the core staffing complement of the home
- have a defined role or task in relation to a named client for a specified period of the day
- work with that named client only at any time
- meet the needs of that client with respect to outcomes agreed with the Care Manager as set out in the Care Plan

	Longer Stay	Medium Stay	Short stay
Level 1 - Standard	The environment, organisation and staffing levels in the home meet needs for individual attention, enhancing independence, exercising choice (including managed risk-taking) and participation in appropriate in-house and community activities. They are sufficient to ensure the service user's safety and to meet personal care needs with dignity and choice.	The environment, organisation and staffing levels in the home meet needs for individual attention, enhancing independence, exercising choice (including managed risk-taking) and participation in appropriate in-house and community activities. They give service users opportunities to increase their independence (e.g. self-catering) and to take control over their lives as the first steps towards more independent accommodation.	The environment, organisation and staffing levels in the home meet the need for individual attention, and facilitate maintaining or acquiring contacts with the wider community. They give service users opportunities to increase and demonstrate their independence and control over their lives. Service users have access to activities that promote their recovery, including education, leisure and voluntary or paid work, with practical support if necessary. Housing providers, community groups and other relevant agencies are involved from the start of a placement.
Level 2 - Enhanced	In addition to Level 1: able to manage significant periodic risk issues; give individual support with personal care, physical health issues and when participating in activities (e.g. provide weekly escort to maintain an outside contact); work to promote independence, with some input from secondary mental health services.	In addition to Level 1: able to undertake programmes of work to acquire new skills, give support in taking up community opportunities, managing risk issues. This could be to meet specific additional needs in the early stages of a recovery programme, or as part of a planned move to more independent accommodation. Housing providers and other relevant agencies are involved in care planning.	In addition to Level 1: limited additional one-to-one staffing required to enable a planned move to independent accommodation e.g. to manage risk issues, acquire specific skills, support the involvement of housing providers, community groups and other agencies. Some input from secondary mental health services.
Level 3 - Intensive	In addition to Level 2: able to manage significant and constant risk issues which require high levels of supervision and monitoring, including waking night staff; give substantial one-to-one attention on a daily basis; address significant additional physical health care needs; undertake planned interventions with substantial input from secondary mental health services.	In addition to Level 2: substantial one-to-one staffing required to enable a planned move to more independent accommodation, e.g. meeting emotional support and cultural needs, addressing risks and practical obstacles, engaging the support of housing providers, community groups and other agencies. Substantial input from secondary mental health service	In addition to Level 2: substantial one-to-one staffing required to enable a planned move to independent accommodation, e.g. meeting emotional support and cultural needs, addressing risks and practical obstacles, actively engaging the support of housing providers, community groups and other agencies. Substantial input from secondary mental health service.

Appendix 3 To Schedule 4 – Standard Rates for all other Client Groups

CARE HOMES FOR OLDER PEOPLE INCLUDING EMI, ADULTS WITH PHYSICAL DISABILITIES

1. Pricing Model

The Order for Individual Services will specify a band based on the needs of the Service.

2. Core Costs

The core costs reflect a combination of the organisation costs and the support banding. The following are part of the core service cost and are included in the 'core' rate for each of the 'bandings'. This list is not exhaustive:

- Management charge including Scheme Manager
- Senior Support staff
- Care and Support Staff
- Relief and agency staff
- Sleeping-in and waking night staff
- Catering/domestic staff
- Cover for sickness and holidays
- 8 days training per staff member per annum
- Recruitment (including CRB checks) and advertising
- Travel and protective clothing
- Insurance
- Administration costs, including staff, postage, professional fees, banking costs etc.
- Accommodation and Board and associated costs, for example utilities, clinical waste disposal, building maintenance, equipment servicing and damages
- Compliance with NCC and CQC regulatory and contractual responsibilities, including registration fees
- Compliance with health and safety and other regulatory responsibilities, including the implementation of policy and procedural guidance to staff
- All direct costs and services associated with daily living including where appropriate cleaning, minor maintenance, gardening and laundry.

Weekly Payment Levels 2014/15

BANDING	SHARED ROOM	SINGLE ROOM
Care Homes		
1 – Older people and other (including physically disabled pension age)	£313.18	£329.04
2 – Very dependent or blind older people	£375.60	£391.48
3 – Very highly dependent older people with mental health problems	£438.03	£453.90
4 – Mentally ill	See separate chart	
5 – Suffering from drug or alcohol dependency	£327.25	£347.90
6 – Learning difficulties	See separate chart	
7 – Physically disabled and disablement began under pension age	£411.90	£432.55
8 – Other	£305.57	£321.06
Care Homes With Nursing		
9 – Older people and other (including physically disabled pension age)	£394.64 plus FNC of £110.89 = £505.53	£410.51 plus FNC of £110.89 = £521.40
10 – Very highly dependent older people with mental health problems	£457.07 plus FNC of £110.89 = £567.96	£472.93 plus FNC of £110.89 = £583.82
11 – Mentally ill	See separate chart	
12 – Suffering from drug or alcohol dependency	£364.42 plus FNC of £110.89 = £475.31	£385.07 plus FNC of £110.89 = £495.96
13 – Learning difficulties	See separate chart	
14 – Physically disabled and disablement began under pension age	£415.00 plus FNC of £110.89 = £525.89	£435.65 plus FNC of £110.89 = £546.54

Notes:

- Banding 3 and 10 above only apply to placements in homes that meet the requirements of the specification for Older People with Mental Health Problems
- In 2014-15 prices for Care Homes with Nursing includes the element for Free Nursing Care which the Council will be paying on behalf of health.